

01-18-02

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PTO/SB/05 (03-01)

Approved for use through 10/31/2001. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	81421-4006	Total Pages	25
First Named Inventor or Application Identifier			
Michael SORRIBES			
Express Mail Label No.	EL 890829489 US		

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:
Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status
(Verified Statement (Declaration) Claiming Small Entity Status)
3. ☒ Specification Total Pages 14
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed Sponsored R&D
 - Reference to Sequence Listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets 9
5. ☒ Declaration and Power of Attorney Total Pages 2
 - a. ☒ Executed (original or copy)
 - b. ☐ Copy from prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statements verifying identity of above copies.

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449
☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Non-publication Request Under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

- ☒ Continuation of prior application no. PCT/DK00/00421, filed July 25, 2000, now pending, the contents of which is expressly incorporated herein by reference thereto.

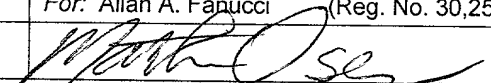
Prior Application Information: Examiner: _____ Group Art Unit: _____

19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code

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Name (Print/Type)	Matthew R. Osenga (Reg. No. 45,600) For: Allan A. Fanucci (Reg. No. 30,256)		
Signature		Date	Jan 16, 2002



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212-294-6700

ATTORNEY DOCKET NO.: 81421-4006

Assistant Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): Michael Miravet SORRIBES

Executed on: December 9, 2001

Title of the Invention: A DEVICE FOR NON-INVASIVELY CORRECTING
THE SHAPE OF A HUMAN EXTERNAL EAR

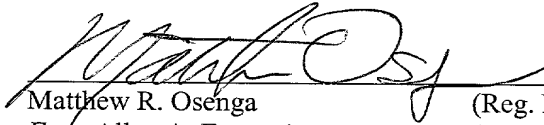
PATENT APPLICATION FEE VALUE

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	16	-20	0	\$18.00	\$ 0.00
Independent	2	-3	0	\$84.00	\$ 0.00
Minimum Fee					\$ 740.00
Multiple Dependency Fee If Applicable (\$280.00)					\$ 0.00
Total					\$ 740.00
50% Reduction for independent inventor, nonprofit organization or small business concern (verified statement as to the applicant's status is attached)					-\$ 370.00
TOTAL FILING FEE					\$ 370.00

☒ Please charge the required fee to Winston & Strawn Deposit Account No. 501-814. A copy of this sheet is attached.

Respectfully submitted,

January 16, 2002
Date


Matthew R. Osenga (Reg. No. 45,600)
For: Allan A. Fanucci (Reg. No. 30,256)

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